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The Impact of Shortening a Long Survey on Response Rate and Response Quality

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The Impact of Shortening a Long Survey on Response Rate and Response Quality

Daniel Stephen Allen

A dissertation submitted to the faculty of Brigham Young University in partial fulfillment of the requirements for the degree of Doctor of Philosophy

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ABSTRACT

The Impact of Shortening a Long Survey on Response Rate and Response Quality

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Many factors influence the response rate of a survey or questionnaire. The BYU alumni questionnaire was initially a lengthy survey with over 200 questions. After a short version of the questionnaire was created and administered, response rates appear to have increased substantially. Male respondents appear particularly more inclined to respond to the shortened version compared to the long version. The questionnaire is examined through various statistical analyses and compared between the short and long versions. Results are presented in the context of existing research on response rates and response quality.

Keywords: survey, questionnaire, short-form, response rate
TABLE OF CONTENTS

ABSTRACT .................................................................................................................................... ii
TABLE OF CONTENTS ............................................................................................................... iii
LIST OF TABLES .......................................................................................................................... v
LIST OF FIGURES ....................................................................................................................... vi
Chapter 1: Introduction ................................................................................................................... 1
  Purpose of the Study ................................................................................................................... 5
  Research Questions ..................................................................................................................... 5
Chapter 2: Literature Review .......................................................................................................... 6
  Literature Search Methods .......................................................................................................... 6
  Why Shorten an IM? .................................................................................................................... 6
  Response Rate ............................................................................................................................. 7
  Saliency ....................................................................................................................................... 8
  Length .......................................................................................................................................... 9
  Survey Mode and Demographics .............................................................................................. 14
  Data Quality .............................................................................................................................. 15
  Objections to Shortening IMs .................................................................................................... 15
  Methods of Shortening IMs ....................................................................................................... 19
Chapter 3: Method ........................................................................................................................ 23
  Participants ................................................................................................................................ 23
  Instrument .................................................................................................................................. 24
  Design ........................................................................................................................................ 27
  Analysis ..................................................................................................................................... 28
Chapter 4: Results ......................................................................................................................... 29
  Research Question 1 .................................................................................................................. 29
  Research Question 2 .................................................................................................................. 30
  Research Question 3 .................................................................................................................. 34
Chapter 5: Discussion ................................................................................................................... 38
  Research Question 1 .................................................................................................................. 38
LIST OF TABLES

Table 1. Response Rate per Year for Male and Female Respondents ........................................... 30

Table 2. Response Rates and Completion Percent by Gender for the Paper Version of the AQ from 2009 - 2011 ........................................................................................................ 31

Table 3. Response Rates and Completion Percent by Gender for the Shorter Web Version of the AQ from 2013 - 2015 ........................................................................................................ 31

Table 4. Cronbach’s Alpha Reliability Coefficient and the Number of Questions per Construct. ............................................................................................................................... 32

Table 5. Differences in Cronbach’s Alpha Between the Short Form and Long Forms ............ 33

Table 6. Average Variance per Respondent by Construct and Year ........................................... 35
LIST OF FIGURES

Figure 1. Theoretical model of interaction between questionnaire length and response rate presented by Eslick and Howell (2001). ................................................................. 10

Figure 2. Example of a construct report used to determine how best to shorten the AQ........ 26

Figure 3. Example of an information function used to determine the appropriate length for a short version of a construct......................................................................................... 27

Figure 4. Average variance for construct 24 across years..................................................... 36

Figure 5. BYU report comparing mean values between the long and short forms of the AQ................................................................................................................................. 39
Chapter 1: Introduction

The process of writing good survey or test items is long and intensive. Often teachers and test makers find that they could use more high-quality test items. On the other hand, when a test or survey gets too long, researchers often find that they have a harder time getting participants to respond (Stanton, Sinar, Balzer, & Smith, 2002). In addition to low response rates, another problem that arises when using lengthy surveys is that the quality of the data is often not as good compared to shorter surveys (Galesic & Bosnjak, 2009; Herzog & Bachman, 1981; Maloney, Grawitch, & Barber, 2011; Stanton, et al., 2002; Stanton, Sinar, Balzer, Julian, et al., 2002). This difference in data quality impacts both the reliability and the validity of the survey results. Lower reliability or validity can invalidate scores or ratings produced by exams or surveys and ultimately undermine the purpose for which research is being conducted. Additionally, researchers find that the opportunity cost of using a particularly long instrument is there is no time for additional questions or supplemental instruments (Beebe et al., 2010; Maloney et al., 2011). The creation of shorter versions of lengthy scales is motivated by all these factors.

The advantages of shorter versions include higher response rates, better data quality, and, in some cases, the opportunity to measure additional attributes without overtaxing participants. However, researchers should take care when shortening existing instruments. The reliability and validity of the long version needs to be preserved or the short version will not be useful (See Boyle, 1991; Maloney et al., 2011; Smith, McCarthy, & Anderson, 2000; Stanton, et al., 2002 for discussion of the strengths and weaknesses of different approaches). Among other things, Smith et al. (2000) emphasize that removing items from a test can result in a narrowing of the construct being assessed. Clearly one must take care when shortening an existing instrument of
measurement (hereafter I use the abbreviation IM to refer generally to any exam, survey, assessment, or similar instrument) because shortening can lead to less fidelity in measurement or even a shifting of the construct altogether.

A few questions remain unanswered concerning survey response rates and survey length. Beebe et al. (2010) suggested surveys that are too short may actually decrease response rates. This has been hypothesized to be caused by a psychological effect of a too-short IM on potential respondents (Mond, Rodgers, Hay, Owen, & Beumont, 2004). Perhaps when IMs are too short, potential respondents feel the IM must be less important compared to a longer IM.

There is also some evidence that longer IMs can lead to greater amounts of missing data on individual questions, decreased variability in answers to grid-based questions, shorter responses to open-ended questions, and shorter response times (Galesic & Bosnjak, 2009; Herzog & Bachman, 1981). These are threats to validity that further motivate the creation of shorter versions of IMs. Maloney et al. (2011) also point out that in applied settings, longer IMs come with an opportunity cost of potentially not measuring other important variables. Also, in applied fields, such as with medical health-related quality of life (QoL) IMs, respondent time is a greater consideration than it might be in research-only settings as participants in these situations are often in some discomfort. IMs originally developed in a research setting often could be better utilized after they are shortened for use in an applied setting. Of course, in all cases it is important to ensure that the shortening of an IM doesn’t undermine the reliability or validity of the IM.

An existing set of data from the Brigham Young University Alumni questionnaire (AQ) provides an opportunity to examine the effects of shortening at the higher range of survey length.
Additionally, it provides an opportunity to compare response rates across survey mode by gender and other demographic groupings.

Since 2001, Brigham Young University has distributed the Alumni Questionnaire Survey (AQ) each fall to alumni who are three years post-graduation. This survey is designed to measure (a) how graduates from BYU are doing on selected outcomes derived from the institution’s objectives and aims (spiritually strengthening, intellectually enlarging, character building, and promoting lifelong learning and service); (b) the perceived impact of BYU experiences on student achievement of the four AIMS; (c) activities and experiences alumni are engaged in subsequent to graduation; and (d) alumni attitudes towards their BYU educational experience in general and at the department level.

Beginning in 2009, there were several concerns about the state of the AQ. The first was the ongoing need to maintain a reasonable response rate. Response rates in its first four years averaged around 40%. In 2005 the rate began to decline until 2008 when it dipped considerably to 27%.

In order to address this concern, a plan was developed to reverse the trend. The first thing was to start to move the survey to the web. This was done in small steps over several years. The first step took place in 2009, as an online version of the survey was provided as a third wave reminder to all non-respondents in 2009. This practice was used in 2010 and 2011 as well. After finding that the web response rates were just as high as or higher than the paper version, it was determined in 2012 to drop the paper version all together and use only the web version for all waves. A second thing that reversed the drop in response rates was to add an incentive of an iPad drawing for all of those who completed the survey. This alone added about a 10% increase in responses. Finally, as presented in this report, a third thing was to reduce the number of items in
each construct in order to reduce the overall time it took to take the survey. A combination of these three things helped bring the response rate back to pre-2004 levels.

A second problem that was recognized in the AQ, which is highly correlated with reduced response rates (Beebe et al., 2010), was the sheer size of the paper survey (14 pages; 268 items) and the length of time it took to complete. An analysis of the 2011 online version of the survey showed that it took a respondent on average anywhere from 39 minutes (Form A) to 60 minutes (Form B) to complete the survey. The average time to take the paper version of the AQ was estimated to be longer.

There are several reasons why the BYU administration wanted to create a shorter form of the AQ. First, it was hoped that shortening the AQ from its current size of over 250 questions would lead to higher response rates. Second, the university was interested in modernizing the AQ by making it available in a web-based format. As the full-size AQ would be unwieldy in comparison to other web-based surveys, developing a much shorter form of the AQ was seen as an important first step towards putting the AQ online. Additionally, putting the AQ online would save on costs to distribute or customize the AQ. For instance, BYU was interested in extending greater flexibility for individual colleges and departments to ask specific outcome questions of their own graduates. Putting the AQ online allows this flexibility without the prohibitive cost that would result from printing potentially hundreds of versions of the paper form of the AQ. The reduced size of the AQ would make these college and departmental additions more practical.
Purpose of the Study

The purpose of this study is to assess the effects of shortening a lengthy, follow-up alumni questionnaire on the response rate and psychometric properties of the different constructs assessed by the instrument.

Research Questions

1. How will the shortening and online administration of the AQ impact the response rate?
2. How will the reliability of the AQ differ between the long paper and short web versions?
3. How will response quality differ between the long paper and short web versions of the AQ?
Chapter 2: Literature Review

Literature Search Methods

I searched the following six EBSCO databases: ERIC, PsycBOOKS, PsycCRITIQUES, PsycEXTRA, Psychology and Behavioral Sciences Collection, PsycINFO. Searches were made using the terms item AND reduction, item AND removal, test AND reduction, test AND shortening, survey AND reduction, survey AND shortening, and short-form. A total of 48 relevant articles were collected—including 9 articles directly discussing the effects and/or methodology of shortening a test or survey and 39 articles that utilized some method to shorten and subsequently validate a short form of an existing instrument.

Only articles related to the theory and methodology of shortening instruments, or the shortening of existing surveys, tests, or questionnaires were included in this review. Articles that discussed the development of new surveys, tests, or questionnaires were excluded.

Why Shorten an IM?

There are several reasons why a shorter version of an IM might be desirable. First, in most studies reported in the literature, shorter IMs have higher rates of response. Increasing the response rate is often a concern in survey research because low response rates might not provide an adequate sample of the population being studied. Second, shorter versions of IMs typically have better response quality. Longer IMs have higher unit nonresponse and less variability in answers to questions in the later portions of the IM (Galesic & Bosnjak, 2009; Herzog & Bachman, 1981). Additionally, some research dealing with online surveys suggests that the time participants take to respond to individual questions becomes shorter and shorter as the survey goes on. All of these situations present threats to the validity of the results of an IM which might be mitigated through the use of a shorter version.
Response Rate

A high response rate is generally desirable in survey research because lower response rates increase concerns of whether the population was adequately sampled (Dirmaier, Harfst, Koch, & Schulz, 2007). Additionally, nonresponse bias typically becomes a greater concern when a survey has low response rates. Nonresponse bias refers to a situation where those who did not respond to a survey may differ in relevant ways from those who did respond to the survey. Recent research may indicate that the relationship between response rate and nonresponse bias (and, indeed, overall survey quality) is not always as strong as has been traditionally supposed (Groves, 2006; Langer, 2003). For example, sometimes surveys with lower response rates have shown less bias than more expensive surveys with higher response rates (Langer, 2003). This suggests that it may not always be advisable to spend money and resources to achieve higher response rates because the increase in data accuracy may not always be worth the extra resources. Therefore, while response rates are often a good, quick indicator of survey quality, they do not tell the whole story of survey quality. Careful researchers should seek to increase response rates economically, recognizing that high response rates are not necessarily a guarantee that an IM will be free of bias. In cases where bias due to nonresponse is of particular concern, a direct study of that bias is preferred over simply pouring resources into increasing response rates.

There is evidence suggesting that response rates are declining over time generally (Beebe et al., 2010; Curtin, Presser, & Singer, 2005). Some research indicates that the rate of decline in response rate may not be equal across different survey media or for urban versus rural groups of respondents (Steeh, Kirgis, Cannon, & DeWitt, 2001). Beebe et al. (2010) suggest that one potential reason for this decrease in response rate is that as life becomes busier for potential
respondents, the perceived opportunity cost (Groves, Singer, & Corning, 2000) of responding to a survey becomes greater. In any case, a general decline in response rate serves as an additional motivation for a researcher to shorten an IM or to use other means to ensure an adequate response rate despite these recent trends.

There are many different factors which might influence response rates in a survey and, as pointed out by Groves et al. (2000), different survey respondents may vary in the degree to which different factors influence their likelihood to respond. For example, some survey respondents might be more likely to respond to a survey when it is sponsored by an organization or group to which they belong. This is referred to as the effect of topic salience. In general, topic salience, survey length, and survey mode interact with participant demographics to produce various response rates.

Salience

In general, people are more likely to respond when the topic of a survey is interesting, relevant, or salient in some other way (Edwards et al., 2009; Marcus, Bosnjak, Linder, Pilischenko, & Schütz, 2007). In many cases, researchers are not entirely in control of the content and/or salience of the surveys they employ. Nor would a researcher necessarily want to promote a survey only to people for whom the topic is salient. Rather, researchers will attempt to compensate for the low response rates due to low topic salience by using monetary or non-monetary incentives.

In some cases, a survey is likely to be somewhat salient to the entire target population. For example, when a company, school, or other institution sends out a survey to employees, students, or people otherwise affiliated with the institution, the survey is likely to be salient in some way to the participants. In these cases, incentives could have a stronger effect on response
rates than if the topic were less salient (Marcus et al., 2007). On the other hand, incentives may be more necessary in situations where the topic is less salient.

Some early research on web-based survey response rates indicates that while topic salience increases response rates, very salient topics may actually decrease response rates (Cook, Heath, & Thompson, 2000). This finding, while interesting, has not been replicated to date. It is possible there are other underlying factors which would account for the difference in response rates (Marcus et al., 2007).

**Length**

Yammarino et al. (1991) examined the literature on increasing response rates in mailed surveys. They found that response rates were reduced by 7.8% when using a survey greater than four pages. However, it is unclear what the difference in response rate would be at a different cutoff. For example, perhaps the response rates would be even higher for a two-page survey compared to surveys with 3 or more pages.

Eslick and Howell (2001) hypothesized a curvilinear relationship between survey length and response rate. According to their theory, surveys that are either too long (zone C) or too short (zone A) will produce lower response rates and that there is an optimal range of survey length (zone B) where response rates are highest (see Figure 1)
In an extensive and systematic review of data from randomized controlled trials (RCT) dealing with survey length and response rates to electronic and postal surveys, Edwards and colleagues (2009) found that the odds ratio of responding to a shorter version compared to a longer version of a questionnaire was 1.64 (95% CI = 1.43-1.87) for postal surveys and 1.73 (95% CI = 1.40-2.13) for electronic surveys. Data that were not from RCT were not included. The outcome measure was simply whether the participant returned the survey. No differentiation was made between completed or partially completed surveys. Although increases in response rates gained through shortening are likely to vary depending on survey topics, target samples, and the presence of other incentives and survey features (Harvey, 1987), these results strongly support the shortening of a survey as a good general way to increase response rates. The data used for the postal survey analysis spanned 56 separate trials conducted by various researchers.
from 1940 to 2007. However, it should be noted that only two trials were included in the electronic survey analysis, indicating that more research is needed on response rate increases due to shortening electronic surveys.

Given the evidence of declining response rates over time discussed above, it may not make sense to include such a broad sweep of studies across 67 years as Edwards et al. (2009) have done because they may overestimate total response rates. However, as there is no reason to suspect any interaction between survey length effects and overall declining response rates, the data are most likely a good general estimate of response rates gained from shorter surveys. It may be of interest for future research to see whether there has been any change over time to the rates at which the shortening of surveys affects response rates. Indeed, it may be of some interest to future research to consider whether the definition of “short” survey is changing over time and how that change might impact the use of traditional IMs and data gathering.

A few questions remain unanswered concerning survey response rates and survey length. Beebe et al. (2010) suggested that surveys which are too short may actually decrease response rates. This has been hypothesized to be caused by a psychological effect of a too-short IM on potential respondents (Mond et al., 2004). Perhaps when IMs are too short, potential respondents feel the IM must be less important compared to a longer IM. Jepson, Asch, Hershey, and Ubel (2005) surveyed physicians with a variety of surveys of different lengths. They found significantly diminishing response rates when using surveys greater than 1000 words. It is not clear to what extent this approximate threshold would extend to other populations—not least because most survey researchers measure instrument length by the number of items rather than the number of words.
Perhaps this last point deserves a bit more consideration. I suggest that the number of items in an IM provides a better measure of IM length than number of pages or number of words. There are several reasons for this. First, the number of pages is clearly dependent on format, font, and other layout factors. These effects of aesthetic design are not as well researched, but do appear to have some impact on response rates (e.g., Subar et al., 2001). Second, the number of words isn’t always reflective of the amount of cognitive burden placed on the respondent. For example, a large number of the words might be instruction text that experienced respondents are able to skip over. This leaves the number of items as the best shorthand method for estimating how much time and cognitive resources must be committed to a given IM. It would not be surprising if individuals who are solicited for surveys perform just such an estimate when asked to participate. This could be an interesting area for future research. Regardless, the clear majority of studies in this review utilized the number of items approach in determining survey length. The present study does the same.

Kalantar and Talley (1999) found a higher response rate for a seven-item questionnaire than for a 32 item questionnaire. However, the difference was slight (8%) and was not statistically significant (p = .08). It is not clear in this case why the length of the questionnaire didn't have a stronger effect on the response rate. It is possible, for example, that shortening the questionnaire to only seven questions made it too short—perhaps moving it into zone A of Eslick and Howell's chart (see above). It is also possible that the overall response rate (71.8%) was high enough that any attempts to raise it further would appear relatively ineffective. Koloski, Talley, Boyce, and Morris-Yates (2001) found similar results when comparing response rates between a 28-page short form and a 32-page long form. While the short form did produce response rates about 6% higher than the long form, the difference was not statistically
significant. In this case, the short version isn't much shorter than the long version, so it might make sense that the difference in response rate would not be dramatic. It is possible that both the “short” and long versions would fall into zone C of Eslick and Howell's chart. Another possibility is that, like Kalantar and Talley's (1999) study, the overall response rate (74%) may have produced a ceiling effect so that any attempts to increase response rates would appear ineffective. However, it is not clear whether a drastically shorter version of the 32-page long form would have produced even higher response rates.

There is some confusion in the literature about whether shorter surveys always increase response rates. For example, Champion and Sear (1969) reported higher response rates to what they called their longer survey. However, the longer survey in this case consisted of the same number of questions as the shorter survey, but distributed across a greater number of pages. Asch, Jedrziewski, and Christakis (1997) found a similar effect to Champion and Sear (1969) where when the number of questions are held constant, the survey with more pages got a higher response rate. It may be more appropriate to call this an effect of survey aesthetic design rather than survey length. Subar et al. (2001), found no difference in response rates between a 36-page and a 16-page version of a food frequency questionnaire (FFQ). However, it should be noted that the 36-page version was “designed to be cognitively easier for respondents” by incorporating Dillman’s (1978) total design method. As such, the results do not necessarily indicate that the short version had no effect on response rates. Rather, it seems more appropriate to state that the results indicate that the shortening effect and the effect due to implementation of the total design method were approximately equal in this case. Or, of particular relevance to the present study, it is possible that the 16-page version simply did not cut the questionnaire below the upper threshold of optimal survey length (i.e., both 16-page and 36-page versions are in
section C of Eslick and Howell’s (2001) chart). Finally, Mond et al. (2004) present one example of a 14-page survey receiving higher response rates than an 8-page survey. With all the different factors that play a role in participant response rates, it is often difficult to pinpoint the extent to which a specific factor increases response rate. However, the majority of research supports the practice of shortening surveys in order to increase response rates.

The BYU AQ provides the opportunity to examine the effect of a drastically shorter version (102 items compared to 203 items—a reduction of nearly 50%) on a response rate (approximately 40%, but as low as 27%) that has room to grow. Will the short version show a much higher response rate, or will the short version still be too long to impact response rates significantly?

**Survey Mode and Demographics**

The results of research on the effect of survey mode (online vs. paper) are mixed. Research suggests that web-based surveys produce lower response rates than paper surveys (De Leeuw & Heer, 2002; Handwerk, Carson, & Blackwell, 2000; Kwak & Radler, 2002; Matz, 1999; McMahon et al., 2003; Underwood, Kim, & Matier, 2000). However, there are cases where online surveys produced the same or higher (Antons, Dilla, & Fultz, 1997) response rates compared to paper versions. Similarly, there is some evidence that men are more likely to respond to online surveys than women (Kwak & Radler, 2002; Sax, Gilmartin, & Bryant, 2003). One study found that men were more likely to opt for an online version of a survey when offered the choice between an online and paper version of the same survey (Carini, Hayek, Kuh, Kennedy, & Ouimet, 2001). However, other research has found no gender differences in response rates for online surveys (Chatman, 2007) or that women are more likely to respond to surveys than men regardless of survey mode (Sax et al., 2003; Wiseman, 2009).
In the case of the AQ, it will be of interest to determine whether response rates are higher or lower for the online versions compared to the paper versions. Additionally, it will be of interest to determine whether men are more likely to respond to the online version of the AQ compared to women.

**Data Quality**

There is also some evidence that longer IMs can lead to greater amounts of missing data on individual questions, decreased variability in answers to grid-based questions, shorter responses to open-ended questions, and shorter response times (Galesic & Bosnjak, 2009; Herzog & Bachman, 1981). These are threats to validity that further motivate the creation of shorter versions of IMs. Maloney et al. (2011) also point out that longer IMs come with an opportunity cost of not measuring other important variables. This is particularly true in applied situations, such as employee surveys or medical screenings where the relationship between the administrator of the IM and the respondents is quite different compared to much academic research. Also, in applied fields, such as with medical health-related quality of life (QoL) IMs, respondent time is a greater consideration than it might be in research-only settings as participants in these situations are often in some discomfort and may need results and diagnosis quickly. IMs originally developed in an academic research setting often could be better utilized after they are shortened for use in an applied setting. Of course, in all cases it is important to ensure that the shortening of an IM doesn’t undermine the reliability or validity of the IM.

**Objections to Shortening IMs**

Smith, McCarthy, and Anderson (2000) raise a series of objections to the development of short forms. While the authors are not against the development of short forms altogether, they express concerns about the methods often used in short-form development. They argue that
developers of short forms must establish reliability and validity independently of the original version.

Another objection raised by Smith et al. (2000) is that developers of short forms are too often developing shortened versions of existing IMs that aren’t fully validated themselves. However, taken in the context of the first objection raised above, in cases where the developer of the short form establishes validity independently of any parent IM, then the validity of the parent IM might be considered somewhat irrelevant. However, this example presents perhaps an unusual case that isn’t likely to occur often in practice. Therefore, it remains good general advice not to develop a short form of an insufficiently validated IM.

Smith et al. (2000) also discuss subscales in the context of shortening an IM. Too often, they argue, developers of short forms ignore subscales altogether and only focus on overall scores. This is problematic in cases where subscale interpretation is still desired. For subscales, as for entire IMs, removing items can result in a shortening of the breadth of the construct being represented. This becomes particularly problematic in situations where items are removed entirely based on a single index (such as item-total correlation) without any content consideration. Therefore, developers of short forms ought to perform content-domain checks to ensure that domains are preserved in the shortened form. Smith et al. (2000) also point out that even in cases where it is determined that domains should be narrowed for a short form, it is far better that this be done intentionally rather than accidentally. Researchers should also document the extent to which domains are narrowed so that prospective users of the short form will understand the relative strengths and weaknesses associated with the new short form.

In the case of the BYU AQ, some content reviews were conducted for individual subscales to ensure that items were not removed based only on item-total correlation. However,
one limitation of these content reviews is that they were conducted primarily by testing experts and survey administrators rather than subject matter experts (SMEs) specific to each subject area.

Another concern is that developers of short forms will take data from an existing sample of the original form, use that data to estimate the correlation of a proposed short form, and then never test that short form with a new independent sample. The problem with using a single sample to estimate the correlation of the short form to its parent form is that it will systematically overestimate the correlation because all of the items in the short form are also in the original IM and will therefore be counted twice. Smith et al. (2000) propose that the best way to avoid this mistake is for short form developers to administer both short and long versions to the exact same group of participants. Note, however, that administering both forms to the exact same participants could become extremely prohibitive in cases where existing instruments are already quite large. In the case of the BYU AQ, this would require examinees to sit through nearly 400 items. At that point a careful researcher isn’t only concerned about saving time or money, but about introducing new error into the data through participant fatigue.

Smith et al. (2000) also suggest that developers of short forms explicitly show the time/resource savings relative to the loss in reliability/validity. They believe this will aid prospective users of the short form in deciding whether the short form will really suit their needs.

Maloney et al. (2011) also discuss several reasons someone might object to the shortening of an IM. First, reducing the number of items from an IM almost invariably reduces the internal consistency reliability. Traditionally, developers of IMs in psychological and educational measurement fields have favored IMs with large numbers of items. Second, there is some concern that altering an IM can impact validity. Each of these concerns is discussed below.
More items will almost always increase internal consistency reliability. This can be true even in cases where items are not strongly related to the construct being measured. However, an IM loaded with weak items is likely unnecessarily taxing on participants and will not necessarily guarantee the absence of unwanted multidimensionality or other measurement error (Cortina, 1993).

Internal consistency reliability is typically measured by Cronbach’s alpha (Cronbach, 1951). It is worth pointing out that, on particularly long IMs, reducing the number of items often does not impact reliability a great deal. One reason some researchers might be reluctant to reduce the number of items in an IM is because, based on Classical Test Theory, they assume that items are of equal value in providing information about the construct being measured. Therefore, they might, through the use of the Spearman-Brown prophecy formula (see Allen & Yen, 1979), show that reducing an IM by $x$ number of items would result in the loss of $xy$ amount of reliability, where $y$ is a constant value. However, the Spearman-Brown assumes that all items are contributing equally. If items are removed systematically on the basis of weak corrected item-total correlations, the Spearman-Brown will overestimate the loss of reliability. Using Cronbach’s alpha as the primary measure of the adequacy of an IM might result in a glut of weaker items simply to inflate the coefficient (Maloney et al., 2011).

However, it is also true that users of shortened versions of an IM should not relax their reliability standards (Smith et al., 2000). If a given level or value of reliability would be inadequate on a long version, then it will also be inadequate on a short version. Clearly, if the cost of shortening an IM is that reliability gets too small, the cost is not justified. Giving away too much reliability to create a short form would be like creating an extremely fast, but very
unreliable bathroom scale that could tell you your weight instantly within 100 pounds of your actual weight.

The second concern discussed by Maloney et al. (2011) is that removing items from an existing IM can alter the construct validity of the IM. This point has been argued by Smith and Stanton (1998) and also by Boyle (1991). Maloney et al. (2011) disagree with this point on the basis that “well-conceptualized measures should adequately represent all unidimensional constructs that they are intended to assess” and “unidimensional constructs do not have items that represent unique construct-relevant content.” However, one could argue that it is often difficult to know when a construct is unidimensional at the conceptual level. Hastily trimming an IM without first exploring possibilities of multiple dimensions or factors could lead to the accidental exclusion of those constructs. In cases where there is little existing data or literature for a scale or a given construct, reviewing the items for face validity and accuracy can supplement and strengthen the process of shortening an IM. As mentioned above, content reviews can help prevent unintentional narrowing or removal of constructs and are therefore good general practice for short form developers.

**Methods of Shortening IMs**

There are relatively few articles directly discussing or arguing for any specific methodology to shorten the length of an IM (Maloney et al., 2011; Muhlan, Bullinger, Power, & Schmidt, 2008). In part, this may be because different purposes for and theoretical underpinnings of different IMs can lead to different optimal methods and/or reasons for shortening the IM. For example, an IM built using factor analysis might be best reduced by the same method. An IM built for the purpose of quickly learning about a patient’s quality of life (QoL) might have greater need of being short even at the expense of some internal consistency
reliability. In each case it is important to make decisions to shorten an IM based on the purposes for and theory by which the IM was originally created. In some cases, it may even be preferable to create a new IM rather than attempt to shorten an existing IM if the theory from which the IM was created would not match with the purpose of the shortened version of the IM (e.g. Streiner & Miller, 1986).

In reading the literature, I have found three main approaches to shortening IMs. Broadly, shortening methods are rooted in Classical Test Theory (CTT), Factor Analysis (FA), or Item Response Theory (IRT). CTT methods typically involve the use of Cronbach’s alpha coefficient (Cronbach, 1951), a measure of internal consistency which, as discussed above, may not always be the best indicator of whether an IM is satisfactory. Factor analytic methods involve extracting the latent factor structure of a set of manifest variables and may or may not always make sense with a given IM (e.g. Streiner & Miller, 1986). Similarly, methods based on IRT assume a level of model-data fit and, when unidimensional IRT models are used, unidimensionality. The upshot of using IRT model misfit statistics to eliminate items from an IM is that you will be removing items based on whether they fit the particular IRT model used. Therefore, IRT methods of item reduction would best be employed when the original IM was built using IRT or there is some other a priori theoretical reason to expect the IM data to fit an IRT model.

Several authors (Maloney et al. 2011; Slaney & Maraun, 2008) have advocated a framework for data-based test analysis in which a formal structure of the IM is first specified, then tested with an appropriate mathematical model, and finally evaluated for reliability and validity. Using this framework as a guideline, researchers can evaluate existing IMs in order to appropriately shorten them or to realign them for a specified purpose. Maloney et al. (2011) showed an example of shortening an IM with the data-based framework and resulted in no loss to
fidelity (precision, reliability) or bandwidth (complexity, multiple attributes). However, the data-based framework is most appropriate in situations where there is extant literature and data describing the scales and individual items in question.

A CTT approach to shortening an IM involves looking at internal consistency of the items. Typically, items with the lowest item-total or corrected item-total correlations are removed first. Chou et al. (2006) used a CTT-based approach to reducing the Berg Balance Scale (BBS; Berg, Wood-Dauphinée, Williams, & Gayton, 1989) by rank-ordering the items by corrected item-total correlation, rank-ordering the items by effect size, and then adding the two rank orders together to create an overall item index. The BBS is often used by medical practitioners to screen stroke victims to assess risk for falling. The effect size in this case is calculated by taking BBS scores 14 days and then 90 days after onset of stroke, subtracting the 90-day score from the 14-day score from each item, and then dividing by the standard deviation. By adding the effect size rank order scores, the researchers are able to see which items are most sensitive to change in a patient’s condition. This approach was first recommended by Hobart and Thompson (2001) as a way to decrease measurement error and increase or maintain sensitivity to change in medical questionnaires. By looking at the overall item indices, Chou et al. (2006) decided which items to retain and which to remove. They found that they were able to reduce the BBS from 14 to 7 items and still maintain concurrent validity with the original scale (R = .99). They were also able to maintain the responsiveness to change of the original scale (effect size .85) to the reduced scale (effect size .78).

Several studies have compared CTT and Rasch IRT approaches. Nijsten, Unaeze, and Stern (2005) developed a short version of the Impact of Psoriasis Questionnaire (IPSO) using both CTT and Rasch IRT. While both methods produced acceptable shorter forms which
correlated highly with both the original IPSO and each other, the authors recommend using the IRT approach. Erhart et al. (2010) compared a CTT approach of maximizing Cronbach’s alpha to a Rasch IRT approach using the Partial Credit Model (PCM) item-fit indices. They concluded that each approach had strengths and weaknesses and that either approach should be supplemented by additional analyses.

In some cases, researchers have used data from factor analysis to inform decisions on which items to remove from a test (e.g. Baardewijk et al., 2010; Cheung et al., 2004). In these cases, the basic approach is to eliminate items which have the weakest factor loadings on their relevant subscale. In the case of Baardewijk et al., items were also excluded if they loaded strongly (>0.30) on more than one subscale.
Chapter 3: Method

There are a number of questions of interest with regard to the short version of the AQ. First, how do the response rates differ across the three different forms of the questionnaire that were administered? A related question of interest is whether there will be any demographic interaction effects with response rates across the three versions. Will the response rate for males increase more drastically than it will for females from the long versions to the short version? Will the response rate among young participants increase more with the electronic short version than it does for older participants?

Second, how will the reliability estimates differ between the three versions of the AQ? Will there be a big drop in reliability, or will the reliability stay largely intact?

Third, how will response quality differ between the three versions? Will the shorter version produce less variability in grid-based questions?

Participants

The data for this study are existing data collected as part of the university's annual survey of alumni. The participants include undergraduate and graduate alumni who are 3 years post-graduation. The researcher offered no incentives to participate in the AQ, but the university does offer participants an entry in a drawing to win an iPad for completing the survey. Because the data already existed, no recruitment methodology was necessary.

The dataset includes responses for 45,080 alumni. Alumni include both graduate and undergraduate students. Responses are spread relatively evenly from 2001 to 2015. Responses were collected from alumni cohorts graduating in the years 1998 to 2012. Slightly more than half of participants were female (N = 23,618; 53.2%). Additional demographic data were available for approximately half of the respondents (N = 20,209; 45.5%). Of these, nearly all
participants were members of The Church of Jesus Christ of Latter-day Saints (N = 20,099; 99.5%). Participants ranged in age from 26 to 94 years old, though the majority clustered around the average age of 38 (\(M = 38.38, SD = 5.80\)). The majority of participants were Caucasian (N = 18,384; 94.9%), with the remaining participants being Black/African-American (N = 37; 0.2%), Asian (N = 136; 0.7%), Hispanic (N = 397; 2.0%), or Pacific Islander (N = 233; 1.2%).

**Instrument**

*The Brigham Young University Alumni Questionnaire (AQ).* Since 2001, Brigham Young University has distributed the Alumni Questionnaire Survey (AQ) each fall to alumni who are 3 years post-graduation. This survey is designed to measure (a) how graduates from BYU are doing on selected outcomes derived from the institution’s objectives and aims (spiritually strengthening, intellectually enlarging, character building, and promoting lifelong learning and service); (b) the perceived impact of BYU experiences on student achievement of the four AIMS; (c) activities and experiences alumni are engaged in subsequent to graduation; and (d) alumni attitudes towards their BYU educational experience in general and at the department level.

The primary stakeholders pertaining to the AQ are the president of the university and board of trustees. Results are reported using the Partial Credit Rasch model to indicate the levels of endorsement of alumni on various items and subscales. Additional descriptive statistics are also reported. Reports are used to identify potential concerns and to confirm and monitor alumni attitudes and experiences over time.

As mentioned above, in September 2011, BYU began to investigate ways to reduce the number of items for each of the 24 AIMS constructs without significantly decreasing reliability or content validity. They took an approach of maximizing reliability statistics while also
examining items for face validity. Additionally, items difficulty estimates were calculated and an attempt was made to retain items across a variety of difficulty levels. The total number of items was reduced to 102 (originally 203) with an average Rasch reliability of .76 (originally .82). Rasch reliability is analogous to Cronbach’s alpha, but provides a more conservative estimate of reliability (Linacre, 1997). Cronbach’s alpha is discussed in chapter 2. An example report used by BYU to determine how best to shorten a construct is included in Figure 2.

As can be seen in Figure 2, BYU stakeholders were able to compare item difficulties as well as reliability estimates for different proposed short versions of a given construct. Two options for a shortened version were provided for each construct, a more conservative cut that removed fewer items and a more aggressive cut that removed more items. At the same time, items were categorized according to a content analysis and efforts were made to retain items from all different content areas.

An additional analysis was done to compare information functions for each of the constructs and their respective proposed cuts. Information functions are based in Item Response Theory. Information is analogous to reliability in Classical Test Theory (CTT) except that information is allowed to vary across different levels of ability rather than being static across the entire trait. Using information functions, the AQ administration team was able to ensure reliability was retained across a broad range of the trait being measured for each construct. An example of an information function is included in Figure 3.
Construct: Writing Skills

<table>
<thead>
<tr>
<th>Item</th>
<th>Item Text</th>
<th>Difficulty</th>
<th>Reliability Estimates</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Consciously control the tone and voice of what you write</td>
<td>0.74</td>
<td>Rasch: 0.85, Alpha: 0.88</td>
</tr>
<tr>
<td>B</td>
<td>Choose words that clearly and precisely communicate your ideas</td>
<td>0.20</td>
<td>Rasch: 0.85, Alpha: 0.89</td>
</tr>
<tr>
<td>C</td>
<td>Construct effective sentence patterns to communicate clearly and precisely</td>
<td>0.16</td>
<td>Rasch: 0.84, Alpha: 0.89</td>
</tr>
<tr>
<td>D</td>
<td>Develop the central idea with relevant evidence and sufficient detail when writing</td>
<td>0.01</td>
<td>Rasch: 0.82, Alpha: 0.90</td>
</tr>
<tr>
<td>E</td>
<td>Focus the ideas you write around a central idea</td>
<td>-0.01</td>
<td>Rasch: 0.76, Alpha: 0.90</td>
</tr>
<tr>
<td>F</td>
<td>Express your thoughts and feelings clearly and effectively in writing</td>
<td>-0.11</td>
<td>Rasch: 0.55, Alpha: 0.91</td>
</tr>
<tr>
<td>G</td>
<td>Follow standard grammar and usage conventions</td>
<td>-0.29</td>
<td>Rasch: 0.47, Alpha: 0.89</td>
</tr>
<tr>
<td>H</td>
<td>Tailor your message and approach to fit the needs of the target audience</td>
<td>-0.30</td>
<td>Rasch: 0.01, Alpha: 0.89</td>
</tr>
<tr>
<td>I</td>
<td>Present ideas in a logical order, providing transitions to help the reader</td>
<td>-0.41</td>
<td>Rasch: 0.01, Alpha: 0.89</td>
</tr>
</tbody>
</table>

Figure 2. Example of a construct report used to determine how best to shorten the AQ.
Figure 3. Example of an information function used to determine the appropriate length for a short version of a construct.

**Design**

The sampling domain includes the entire population of alumni in a given year. In years prior to the development of the shortened electronic version, alumni were randomly assigned to receive either paper form A or paper form B. One year prior to the development of the electronic short form, participants who did not respond after the first few reminders to take a paper long form of the exam were given the option to take an electronic long form of the exam. Once the electronic short form was developed, it was pilot tested as an alternative option for participants.
who did not respond to initial requests. The following year, the electronic short form became the only survey form available to participants.

**Analysis**

Note that enough response data has been collected by the AQ that traditional hypothesis testing will be unhelpful because any such tests will be overpowered. Therefore, I will primarily calculate descriptive statistics in attempt to answer my research questions and explore the data.

My first research question is: How will the shortening and electronic conversion of the AQ impact the response rate? I will collect these all together and also separately by gender. I will also calculate the number of items complete and average number of items complete for these same groups.

My second research question is: How will the reliability of the AQ differ between the paper long and electronic short versions? I will answer this question by calculating Cronbach’s alpha reliability estimates for each of the 24 constructs. I will do this separately for forms A and B of the paper version as well as the short form of the AQ.

My third research question is: How will response quality differ between the versions of the AQ? This question will be answered by measuring the variability within grid-based questions on the AQ. Each of the 24 constructs constitutes a separate grid-based question. The amount of variability within grid-based questions for each individual respondent will be summed up and then averaged across the relevant forms.
Chapter 4: Results

Research Question 1

How will the shortening and online administration of the AQ impact the response rate? Initially response rates were calculated separately for male and female participants for each year the AQ was administered (see Table 1). At a high level it appears that response rates started around 40% in 2001, but dropped sharply in 2005. Rates climbed again in 2009 back to the 40% range, then climbed further to nearly 50% before appearing to plateau somewhere between 45% and 48%. Females tended to have much greater response rates from 2001 all the way until 2012 when the lead dropped to 2.21%. In 2013 male respondents jumped to a nearly 50% response rate and a lead of 2.82% greater than female respondents. Males have continued to have the higher response rate from 2013 to the most recent administration of the AQ in 2015, though the gap has narrowed to less than 1% in 2015.

From this high-level view, it appears that shortening the AQ may indeed have increased response rates, especially among male respondents. To take a closer look, I combined the data from three years prior to and after the shortening of the AQ (see Tables 2 and 3). Data from the year 2012 were omitted because changes were made to the original piloted version of the short form after that year. Data indicate that increases in response rate on the sort version of the AQ are mostly due to an increase in response rate among male respondents. Female respondents’ response rates increased by less than 1%.
Table 1  
*Response Rate per Year for Male and Female Respondents*

<table>
<thead>
<tr>
<th>Year</th>
<th>Female</th>
<th>Male</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>2001</td>
<td>44.76%</td>
<td>34.28%</td>
<td>39.61%</td>
</tr>
<tr>
<td>2002</td>
<td>45.83%</td>
<td>36.44%</td>
<td>41.29%</td>
</tr>
<tr>
<td>2003</td>
<td>43.89%</td>
<td>35.54%</td>
<td>39.88%</td>
</tr>
<tr>
<td>2004</td>
<td>42.70%</td>
<td>34.17%</td>
<td>38.50%</td>
</tr>
<tr>
<td>2005</td>
<td>29.03%</td>
<td>21.49%</td>
<td>25.29%</td>
</tr>
<tr>
<td>2006</td>
<td>37.64%</td>
<td>27.24%</td>
<td>32.41%</td>
</tr>
<tr>
<td>2007</td>
<td>36.64%</td>
<td>26.77%</td>
<td>31.64%</td>
</tr>
<tr>
<td>2008</td>
<td>27.79%</td>
<td>22.16%</td>
<td>24.92%</td>
</tr>
<tr>
<td>2009</td>
<td>44.39%</td>
<td>36.49%</td>
<td>40.29%</td>
</tr>
<tr>
<td>2010</td>
<td>42.59%</td>
<td>34.68%</td>
<td>38.49%</td>
</tr>
<tr>
<td>2011</td>
<td>48.69%</td>
<td>42.23%</td>
<td>45.37%</td>
</tr>
<tr>
<td>2012</td>
<td>40.91%</td>
<td>38.70%</td>
<td>39.77%</td>
</tr>
<tr>
<td>2013</td>
<td>46.74%</td>
<td>49.56%</td>
<td>48.20%</td>
</tr>
<tr>
<td>2014</td>
<td>45.81%</td>
<td>48.88%</td>
<td>47.38%</td>
</tr>
<tr>
<td>2015</td>
<td>45.33%</td>
<td>46.22%</td>
<td>45.78%</td>
</tr>
</tbody>
</table>

The number of partial responses increased by nearly 7% overall while the mean number of items completed decreased. Interestingly, the average percentage complete dropped by just over 10% for female respondents and less than 5% for male respondents. There are several possible reasons for these differences, which will be discussed further later.

**Research Question 2**

How will the reliability of the AQ differ between the long paper and short web versions? As shown in Table 4, the majority of the reliability coefficients compare very well between the long and short versions. Note that in some cases there was a difference between forms A and B in terms of alpha and/or in terms of the number of questions. In the case of construct 21, the difference in alpha was likely at least partially due to the difference in the number of questions between the two forms.
### Table 2

**Response Rates and Completion Percent by Gender for the Paper Version of the AQ from 2009 – 2011**

<table>
<thead>
<tr>
<th>AQ Responses</th>
<th>Total</th>
<th>Males</th>
<th>Females</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Percent Responding</td>
<td>Percent Complete</td>
<td>Percent Responding</td>
</tr>
<tr>
<td>Complete</td>
<td>25.98</td>
<td>100.00</td>
<td>22.82</td>
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<tr>
<td>Partial</td>
<td>15.31</td>
<td>47.50</td>
<td>14.89</td>
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<tr>
<td>Total Responding</td>
<td>41.29</td>
<td>80.67</td>
<td>37.71</td>
</tr>
<tr>
<td>Non-Responding</td>
<td>58.71</td>
<td>.00</td>
<td>62.29</td>
</tr>
</tbody>
</table>

### Table 3

**Response Rates and Completion Percent by Gender for the Shorter Web Version of the AQ from 2013 – 2015**

<table>
<thead>
<tr>
<th>AQ Responses</th>
<th>Total</th>
<th>Males</th>
<th>Females</th>
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<tbody>
<tr>
<td></td>
<td>Percent Responding</td>
<td>Percent Complete</td>
<td>Percent Responding</td>
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<tr>
<td>Complete</td>
<td>25.04</td>
<td>100.00</td>
<td>25.90</td>
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<tr>
<td>Partial</td>
<td>22.08</td>
<td>41.80</td>
<td>22.32</td>
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<tr>
<td>Total Responding</td>
<td>47.13</td>
<td>72.73</td>
<td>48.23</td>
</tr>
<tr>
<td>Non-Responding</td>
<td>52.87</td>
<td>.00</td>
<td>51.77</td>
</tr>
</tbody>
</table>
Table 4

*Cronbach's Alpha Reliability Coefficient and the Number of Questions per Construct*

<table>
<thead>
<tr>
<th>Construct</th>
<th>Form A</th>
<th></th>
<th>Form B</th>
<th></th>
<th>Short Form</th>
<th></th>
</tr>
</thead>
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<tr>
<td></td>
<td>Alpha</td>
<td>k</td>
<td>Alpha</td>
<td>k</td>
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<tr>
<td>1</td>
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<td>.86</td>
<td>10</td>
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<td>.85</td>
<td>7</td>
<td>.84</td>
<td>5</td>
</tr>
</tbody>
</table>

Note. Alpha = Cronbach's alpha coefficient. k = number of questions

In cases where alpha is different between form A and form B there are at least three different ways we can compare the alphas to the short form alpha. First, we can compare the short form alpha with the larger of the two alphas from the long forms. Second, we can compare the short form alpha with the smaller of the two alphas from the long forms. Finally, we can take
an average alpha for the two long forms and compare it to the short form alpha. For convenience, the results of these comparisons are shown in Table 5.

Table 5

<table>
<thead>
<tr>
<th>Construct Number</th>
<th>Larger Difference</th>
<th>Smaller Difference</th>
<th>Average Difference</th>
</tr>
</thead>
<tbody>
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<td>1</td>
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Note. Larger = The larger value for Cronbach's alpha between forms A and B. Difference = The difference between the specified long form alpha and the short form alpha.

A few constructs look either nearly or completely unaffected in terms of alpha. For example, constructs 1, 10, 15, 20, and 22 have a short form alpha that is always within .01 of the original long form alpha regardless of which method is used to compare alphas. A few
constructs stand out as having larger differences. Constructs 17, 19, 21, and 23 exhibit the largest differences. As mentioned above, construct 21 is a bit unique in the large difference in the number of items between forms A and B. In this case, perhaps it makes the most sense to look at the smaller difference, which is less concerning.

Note that while cutting a larger number of questions from a construct tended to correlate with a larger loss of alpha ($r(22) = .45, p < .03$), there are examples of constructs that did not fit this trend. Cutting three items from construct 1 resulted in virtually no difference in alpha, but cutting the same number of questions from construct 19 resulted in a large drop. In any case it appears that some constructs are more sensitive to dropping items than others. Not surprisingly, constructs with larger numbers of items to begin with are able to drop more items with less impact to alpha.

**Research Question 3**

How will response quality differ between the long paper and short web versions of the AQ? This question is addressed by calculating the variation within grid-based items. In the case of the AQ, each of the 24 constructs constitutes a separate grid-based item. In order to quantify the variation in the responses of individual respondents across questions within each construct, a variance was calculated for each of the 45,080 respondents for each of the 24 constructs. This amounted to 45,080 multiplied by 24, resulting in 1,081,920 different variances. The variances were then averaged within constructs across the years the AQ has been delivered from the year 2001 until the year 2015. This resulted in a mean variance value for each of the 24 constructs for each of the 15 years of administration. These mean variance values can be compared across years in order to examine whether average variance has increased in the years after the AQ was shortened. These 360 average variance values are shown in Table 6.
Table 6

Average Variance per Respondent by Construct and Year

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Some constructs have a larger mean variance than others. Constructs 3, 6, 7, 9, 10, 15, 16, 18, 20, and 22 all have low amounts of average variance across most years. There are a few constructs with relatively high average variance. Construct 24 has the largest amount of average variance in some of the earlier years the AQ was delivered, but construct 19 has the largest average variance two of the three most recent years.

![Figure 4. Average variance for construct 24 across years.](image.png)

While the overall variances are quite low, there appears to be an interesting trend going on. For many constructs, average variances have decreased in more recent years. This is the case for constructs 2, 4, 5, 6, 8, 9, 10, 11, 12, 15, 16, 21, 22, 23, and 24. Fifteen of the 24 constructs have decreased in average variance since 2013, which is the first year that only the
short form was delivered. The average decrease of average variance across all constructs from 2001 to 2015 was -12.84%. On the other hand, nine of the constructs have increased in average variance. Constructs 1, 17, and 19 have all increased by more than 10%. See Figure 4 for an illustration of the drop in average variance right at the time the AQ was shortened.
Chapter 5: Discussion

The results for each research question are presented in Chapter 4. We found a general increase in response rates, particularly for male respondents. We found cases where Cronbach’s alpha did not decrease as a result of shortening a construct. We also found cases where Cronbach’s alpha decreased after shortening a particular construct. Finally, we found that variance within constructs was relatively low across all constructs and that some constructs appear to have increased in average variance, while others appear to have decreased.

Mean values for each of the constructs are presented in Figure 5. This information is from a university report comparing undergraduate means for the long paper form in 2008 with means from the short web form in 2012. These results provide context for the following discussion of the individual research questions.

Research Question 1

The increases in the response rate could be due to the shortening of the instrument, administering it online, or a combination of both. Available data do not allow us to tease apart the effects of these variables. As stated above, there is plenty of research literature support showing that shortening an IM will lead to increased response rates (Edwards et al., 2009). At the same time, the majority of evidence seems to indicate that web-based surveys produce lower response rates than paper surveys (De Leeuw & Heer, 2002; Handwerk, Carson, & Blackwell, 2000; Kwak & Radler, 2002; Matz, 1999; McMahon et al., 2003; Underwood, Kim, & Matier, 2000).
The greater increase in response rate among male respondents is noteworthy. While this effect is technically confounded by the same variables as the overall increase in response rate, there is no historical literature support for the shortening of an IM impacting response rates differentially by gender. Without eliminating the possibility that shortening the AQ impacted male respondents more than female respondents, considering the literature in support of online IMs having higher response rates among males (Kwak & Radler, 2002; Sax, Gilmartin, & Bryant, 2003) it seems reasonable to assert that the increase in male response rate was at least
partially due to putting the AQ online. This increase in male response rate appears to be real—persisting through each year since the AQ was shortened—and will be interesting to monitor going forward.

It is possible that the higher percent of male participants partially explains the lower mean values shown in Figure 5. Administrators of the AQ indicate that male respondents typically have lower means compared to female respondents. This effect is not examined in this paper, but could be an interesting area for future research.

Assuming that increases in response rates were not due entirely to putting the AQ online, it may be safe to conclude that shortening the AQ was at least partially responsible for the increased response rates. If this is the case, the AQ may have moved from zone C into (or at least closer to) zone B of Eslick and Howell’s (2001) chart. However, several questions remain regarding the ideal length for the AQ.

It is possible that some number of questions could be added back to the AQ without impacting the response rate. The exact threshold at which response rates decrease (or, indeed, whether there is a threshold or whether response rates decline at a constant rate within the range studied) is not clear from the data.

It is possible that further shortening will increase the response rate even higher. While perhaps unlikely, it is also possible that further shortening the AQ will decrease response rates. In other words, it seems likely that shortening the AQ has increased response rates. However, the optimal length at which response rate is maximized is still unknown. It may be worth pointing out that while optimal length presents an interesting academic question, in practice there will always be other concerns that are more important (e.g. the purpose for which the survey is
being conducted in the first place). In the absence of validity, response rates become meaningless.

**Research Question 2**

We saw some mixed results in terms of alpha between different versions of the AQ. In some cases alpha decreased quite a bit. In other cases the differences were negligible. It is, of course, possible that some validity will have been lost even in cases where alpha did not change.

In the cases where alpha decreased, there is an additional concern. Standard errors are used to construct confidence bands for each of the 24 constructs in the AQ. Since Cronbach’s alpha is used to estimate standard error, any reduction in alpha could have the undesired effect of widening the confidence bands for an affected construct. Taking it a step further, lower alphas can have a stronger impact on standard errors calculated for smaller administrative units of the university. For example, while an alpha of .75 may be acceptable for the purposes of the university as a whole, it may not be sufficient when looking only at specific colleges or majors where the reliability of group means will be lower (Snijders & Bosker, 2012).

However, overall losses in alpha were negligible compared to the number of questions removed. It is possible that some redundancy was eliminated from some of the constructs without any significant loss of validity. It would be an interesting point of future research to examine the validity question more directly.

**Research Question 3**

Looking at the average variance within responses to each construct, we see more mixed results. Overall, findings are generally inconsistent with (admittedly sparse) literature which suggested that shortening an IM might increase the variance of responses within grid-based questions (Galesic & Bosnjak, 2009; Herzog & Bachman, 1981). Given this inconsistent
finding, one potentially concerning possibility is that the items removed from some of the constructs were precisely those items which were most likely to be responded to, on average, differently from the other items in the construct. This raises the possibility that some aspects of the constructs might have been inadvertently removed. As mentioned above, the upshot of this effect might be an unintentional narrowing of the affected constructs.

On the other hand, average variance numbers are small overall, indicating largely uniform responses within constructs across all years of administration. Many constructs are below .5 average variance across all years of administration. Given these relatively small variances, we should be cautious about over-interpreting differences between the years. For example, how impactful is the change in construct 6 after the AQ was shortened? The highest variance for a given year before the shortening was .23 compared to .21 in all the years after the shortening. On the other hand, we see some constructs, such as construct 24, which dropped more substantially. In the case of construct 24, the average variance went from the mid .90’s range to the high .60’s range. In this case one or more of the question(s) removed were certainly questions which a large number of respondents answered differently than the other questions in construct 24. I discussed the case of construct 24 with the BYU administrative team and discovered that construct 24 had been purposely changed. It was decided that construct 24 was not functioning properly and was getting worse over time. Therefore specifically problematic items were removed from the construct in order to correct for this problem. So, the differences in construct 24 before and after the shortening of the AQ are not directly due to the shortening, but rather to a redefinition of the construct.

Finally, there are some constructs for which average variance did increase in the years after the AQ was shortened. Construct 19 provides a good example. It is possible that
shortening the AQ does provide an increase in variance to responses within grid-based questions, but that in many cases the questions being removed were those questions which were most likely to be answered differently from the majority of questions within the construct. This makes sense considering the use of Cronbach’s alpha as one factor in deciding which questions to remove.

**Recommendations and Conclusion**

**Recommendations for further use.** Overall, AQ response rates have increased as administrators had hoped. This may help to alleviate concerns about the falling response rate prior to the implementation of the short version. Additionally, for many constructs, the shortening appears to have had little impact on any of the statistics measured in this paper.

At the same time, the results raise a few concerns for the future use of the instrument. The primary concern is the validity of the constructs. Another concern is the reliability of the constructs. Other concerns may come up in the future if further modifications are made to the AQ—either adding or subtracting questions. I discuss each of these concerns below.

First, validity is the most important feature of any test, survey, or other measure. The question of how shortening impacted validity of the AQ has not been answered here. One straightforward way to solve this problem is to conduct a validity study on the AQ. In particular, I recommend conducting a validity study on the constructs that had many items removed and/or lost the most average variance as indicated by the results for research question three. This is because the manner in which the AQ was shortened using Cronbach’s alpha, coupled with these results, raises the concern that some construct(s) may have been unintentionally narrowed in scope.

Additionally, close attention should be given to any changes in aggregate responses on the constructs in years after the AQ was shortened. It could be that changes in the data are the
result of a subtle shift in the meaning of a construct rather than actual changes in the alumni population over time. Again, I would be particularly concerned in those cases where many items were removed or a relatively large amount of average variance was lost. If shifts in construct parameters occur following the shortening of the AQ, it would be appropriate to consider doing a validity study on at least the affected constructs.

Second, while reliability remains very good for most constructs and acceptable for all constructs at the campus level, there is the concern that individual construct reliability will be low for individual departments or administrative units. Recognize that the reliability of group means is a function of sample size (Snijders & Bosker, 2012) and consider adding questions back to a construct if reliability will drop too low for the smallest administrative units that require reporting.

Finally, if at some point there is a need to either shorten the AQ again, or add questions back to it, the following recommendations should be considered. If shortening the questionnaire even further, consider alternatives to the approach utilized in the initial shortening. For example, deliver only a subset of constructs to each participant, remove entire constructs, or merge similar constructs. If shortening must occur within each of the constructs again, utilize additional statistics so that Cronbach’s alpha is not the primary indicator and consider doing a validity study in addition. Note that no construct should contain fewer than three questions at an absolute minimum.

If adding questions back to the AQ, first consider adding questions back to those constructs with the lowest reliability and those constructs that lost the most reliability as a result of the shortening. Regardless, consider adding questions back to constructs 17 and 19 to increase reliability indices.
**Recommendations for further research.** As mentioned previously, shortening appears to have increased response rates, though with current data it is impossible to disentangle this effect from the effect of putting the AQ online. The finding that male response rates increased more than female response rates could use additional research. Also, some academic questions remain as far as ideal instrument length. Each of these points is elaborated below.

First, it would of course be interesting to disentangle the differing impacts and interaction effects of survey length and survey mode in this particular case. This could be done by delivering the entire original version of the AQ online and comparing to the short version and, at the same time, delivering a shortened paper version of the instrument to some students. There are, of course, financial and logistical limitations to such an experiment for most universities. However, such a design would allow us to answer some questions. Is there something about both shortening a survey and putting it online that increases response rates, or is one of the two changes responsible for most of the increase? Is it possible to increase response rates to a paper survey simply by putting it online? There isn’t any research supporting such a conclusion, but perhaps respondent preferences for survey mode are shifting to the point that online surveys are the preferred mode of delivery.

Second, we found that response rates increased more for males than for females. Additional research could address whether male respondents are increasingly more likely to respond to online surveys compared to women, or whether shorter surveys are more important to male respondents for some reason.

Finally, it isn’t clear to what extent response rates might have increased if the AQ were shortened even more. An even shorter version of the instrument might elicit much higher response rates, slightly higher response rates, or even the same response rates. At the same time,
it isn’t clear how many more questions could be added back to the AQ before response rates begin to decrease again. Is the response rate a continuous function of the number questions in an instrument such that the response rate “cost” can be estimated per question? Or are there thresholds as depicted in Figure 1? Again, this type of research is unlikely to be practical for a given instrument such as the AQ. However, the answers to these questions could prove invaluable to anyone developing a new instrument and trying to balance measurement concerns with desired response rates and opportunity cost (as discussed by Maloney et al., 2011).

**Conclusion.** Shortening the AQ appears to have increased the response rate. Putting the instrument online appears to have increased the response rate among male respondents. However, we note that the effects of shortening and putting the instrument online are confounded. It is therefore not known precisely which changes resulted in which outcomes. These results are interpreted in the context of existing research literature to be most likely, but by no means certain.

Validity concerns remain and are somewhat heightened by the observed reduction to average variance within constructs. One interpretation of increased monotony in responses is that the construct was narrowed unintentionally. Separate validity studies are required to determine to what extent this narrowing may have happened to the AQ.
References


